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In re Application of : M. WADA et al.

Serial No.: 10/076,421

Group Art Unit: 1614

Filed: February 19, 2002

Examiner: TBA

For: ANTI-HIV AGENTS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97 and 1.98

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

CITED MATERIALS

I hereby certify that this correspondence is being deposited with the U.S. Postal Services as First Class Mail in an envelope addressed To: Commissioner of Patents and Trademarks, Washington, D.C. 20231 On: 10/28/02

Name: Bianca R. Smith

Signature: Bianca R. Smith

Date: 10/28/02

Applicant(s) hereby disclose the information listed in the attached form PTO-1449.

- Copies of materials listed but not attached were cited in parent application Serial No. ____; see 37 C.F.R. § 1.98(d).
- Copies of materials listed but not attached were cited in an International Search Report dated ____; receipt of the International Search Report and copies of references was confirmed by the PCT International Division of the U.S. PTO in the Notice of Acceptance mailed ____.

ADDITIONAL INFORMATION

[NONE?]

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LANGUAGE

- All listed materials are in the English language; see 37 C.F.R. § 1.98.
- Non-English language references:
 - The reference(s): ____ in the English-language is (are) indicated by commercial data bases to correspond to the reference(s): ____ , respectively.
 - (An) English-language translation(s) of the references: ____ is (are) provided.
 - A commercial English-language abstract of reference(s) ____ is (are) provided.

- An English-language search report or an equivalent paper from a foreign patent office translated into English in pertinent part in connection with a counterpart foreign application, is provided indicating the relevance of the cited reference(s).

FEES

- No fee is required for this Information Disclosure Statement because:
- This Information Disclosure Statement is being filed within three months of the filing date of a national application (other than a CPA) under § 1.53(d) or within 3 months of the date of entry of the national stage under § 1.491 in an international application;
- This Information Disclosure Statement is being filed before the mailing of a first action on the merits;
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CERTIFICATION

- Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned, having made reasonable inquiry, no such item was known to any individual designated in 37 CFR § 1.56(c), more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

If a fee is due, attached is a check in the amount of \$ _____. However, the Commissioner is hereby authorized to charge fees under 37 CFR § 1.16 and § 1.17 which may be required to facilitate this filing, or credit any overpayment to Deposit Account #13-3402, two copies of this paper are attached for this purpose.

Respectfully submitted,


Nicole E. Kinsey (Reg. No. 50723)
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FILED: October 28, 2002

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| Application Number | | 10/076,421 | |
| Filing Date | | February 19, 2002 | |
| First Named Inventor | | M. WADA et al. | |
| Group Art Unit | | 1614 | |
| Examiner Name | | TBA | |
| Attorney Docket Number | | HAYAK 9 | |
| Sheet | 1 | of | 2 |

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| U.S. PATENT DOCUMENTS | | | | | | |
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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|--------------------------|-------------------|
| Application Number | 10/076,421 |
| Filing Date | February 19, 2002 |
| First Named Inventor | M. WADA et al. |
| Group Art Unit | 1614 |
| Examiner Name | TBA |
| Attorney Docket Number | HAYAK 9 |

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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